STATE: MINNESOTA ATTACHMENT 3.1-B

Effective: August 1, 2002

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4.b. <u>Early and periodic screening, diagnosis, and treatment services:</u> (continued)

Therapeutic components of therapeutic camp programs are provided by a team of multidisciplinary staff under the clinical supervision of a mental health professional. A "multidisciplinary team" must include at least one program staff person if the person meets the qualifications and training of a Level I mental health behavioral aide and is under the direction of a mental health professional and at least one mental health professional or mental health practitioner under the clinical supervision of a mental health professional. "Direction" has the same meaning as described on page 16p, subitems 1-3 for mental health behavioral aide services.

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Payment is limited to 20 hours of treatment in a calendar year.

Payment is limited to the above components of family community support services, plus time spent traveling to and from the site where family community support services are provided. Travel is paid for at the hourly medical assistance rate paid to a case manager for case management services provided in Supplement 1 to this Attachment. Only 40 hours of travel per client in any consecutive six-month period is paid. The 40-hour limit may not be exceeded on a calendar year basis unless prior authorization is obtained.

To be eligible for medical assistance payment, a mental health practitioner must receive clinical supervision from a mental health professional. However, a mental health practitioner will be paid if the practitioner maintains a consulting relationship with a mental health professional who accepts full professional responsibility and is present on-site for at least one observation during the first 12 hours in which the mental health practitioner provides family community support services. Thereafter, the mental health professional must be present on-site for observation clinically appropriate when the mental practitioner is providing individual family or group skills training; such observation must be a minimum of one clinical hour. The mental health professional must document his or her on-site presence in the child's record.

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4.b. <u>Early and periodic screening, diagnosis, and treatment services:</u> (continued)

The services specified in items A through R below are **not** eligible for medical assistance payment:

- A. client outreach for the purpose of seeking persons who potentially may be eligible for family community support services:
- B. family community support services provided to a child who at the time of service has not had a diagnostic assessment to determine if the child has a severe emotional disturbance (or, if between ages 18 and 21, a person with serious and persistent mental illness), except that the first 30 hours of family community support services provided to a child who is later assessed and determined to have a severe emotional disturbance (or, if between ages 18 and 21, a person with serious and persistent mental illness) at the time services began is eligible for medical assistance payment;
- C. more than 68 hours of individual, family, or group skills training within any consecutive six-month period. The 68hour limit may not be exceeded during any calendar year unless prior authorization is obtained;
- D. more than 24 hours of crisis assistance within any consecutive six-month period. This limit may not be exceeded during any calendar year, except in the case of an emergency, and prior authorization or after-the-fact authorization of the psychotherapy is obtained under State rules governing after-the-fact authorization;
- E. family community support services that exceed 92 hours in any combination of crisis assistance, and individual, family, or group skills training within any consecutive sixmonth period. The 92-hour limit may not be exceeded during any calendar year. Additional family community support services beyond 92 hours are eligible for medical assistance payment with prior authorization;
- F. crisis assistance and individual, family, or group skills training provided by a person who is not at least qualified as a mental health practitioner and who does not maintain a consulting relationship with a mental health professional who accepts full professional responsibility;

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4.b. <u>Early and periodic screening, diagnosis, and treatment services:</u> (continued)

- G. family community support services provided at the same time as professional home-based mental health services;
- H. family community support services simultaneously provided with therapeutic support of foster care services;
- I. assistance in locating respite care and special needs day care, and assistance in obtaining potential financial resources, including federal assistance;
- J. medication monitoring;
- K. family community support services not provided by a county board or eligible provider under contract to a county board;
- L. family community support services provided at the same time by more than one mental health professional or practitioner unless prior authorization is obtained;
- M. family community support services to a child or the child's family that duplicate health services funded under medical assistance mental health services; grants authorized according to the Children's Community-Based Mental Health Fund; the Minnesota Family Preservation Act; or the Minnesota Indian Family Preservation Act, except up to 60 hours of day treatment services within a six-month period provided concurrently with family community support services to a child with severe emotional disturbance are eligible for medical assistance payment without prior authorization if the child is:
 - 1. being phased out of day treatment services and phased into family community support services; or
 - 2. being phased into day treatment services and the family community support services and day treatment services are identified with the goals of the child's individual treatment plan.

Prior authorization may be requested for additional hours of day treatment beyond the 60-hour limit.

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4.b. <u>Early and periodic screening, diagnosis, and treatment services:</u> (continued)

- N. Family community support services are not covered unless the services provided to the family are directed exclusively to the treatment of the recipient;
- O. Mental health behavioral aide services provided by a personal care assistant;
- P. Services that are the responsibility of a residential or program license holder, including foster care providers;
- Q. Crisis hotlines; or
- R. Level I and Level II mental health behavioral aide services provided at the same time.
- 5. Therapeutic support of foster care services for children are the mental health training and support services and clinical supervision provided by mental health professionals or mental health practitioners to foster families caring for a child to provide a therapeutic family environment and support the child's improved functioning. For purposes of item 4.b., a child eligible for therapeutic support of foster care means a child under age 18 who has been determined, using a diagnostic assessment, to be a child with severe emotional disturbance, (or, if between ages 18 and 21, a person who has been determined to have a serious and persistent mental illness) who meets the functional criteria defined in Supplement 1 of this Attachment for purposes of targeted case management, or a child who meets one of the criteria listed on page 16a, items A-D for professional home-based mental health services. The number of foster children in a family receiving therapeutic support of foster care cannot exceed two, unless otherwise approved by the Department.

The diagnostic assessment must have determined that the child meets the functional criteria noted above and is in need of therapeutic support of foster care.

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4.b. <u>Early and periodic screening, diagnosis, and treatment services:</u> (continued)

The services are for the purposes of enabling a child to improve or maintain emotional or behavioral functioning in order to reduce or prevent the reliance upon more intensive, restrictive, and costly services, or to reunify and reintegrate the child with the child's family after out-of-home placement.

The entities eligible to provide therapeutic support of foster care services are the same as those for **family** community support services, page 16j. These entities provide therapeutic support of foster care services primarily in the child's foster home, but may also provide them in the child's day care or school, the home of a relative of the child, and a recreational, employment or leisure setting.

A provider of therapeutic support of foster care must meet the qualifications in items A through E, below:

- A. the provider must be skilled in the delivery of therapeutic support services to foster families caring for children with severe emotional disturbance. Mental health practitioners must receive 20 hours of continuing training every two years. The topics covered must conform to those listed in State rules governing training for family community support services.
- B. mental health practitioners cannot have caseload sizes of more than eight children.
- C. if <u>applicable</u>, <u>and</u> the county board has not done so, the provider must provide or assist the child or the child's family in arranging mental health crisis assistance services for the child and the child's foster family that must be available 24 hours per day, seven days a week.
- D. the provider must submit a letter to the Department before providing therapeutic support of foster care services, assuring that the agency with which it contracts has adequate capacity to recruit mental health professionals and practitioners to provide such services.

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4.b. Early and periodic screening, diagnosis, and treatment services: (continued)

> the provider must ensure that therapeutic support of E. foster care services are given in a manner consistent with national core values for foster care treatment.

A provider of therapeutic support of foster care services must be capable of providing all of the components specified in items A-C on pages 16b-16c for professional home-based mental health services.

Payment is limited to the above components, plus time spent traveling to and from the site where therapeutic support of foster care services are provided, up to 128 hours of travel per client in any consecutive six month period. These limits apply on a calendar year basis as well. Travel is paid for at the hourly medical assistance rate paid to a case manager for case management services provided in Supplement 1 to this Attachment. Additional travel hours may be approved as medically necessary with prior authorization.

To be eligible for medical assistance payment, a mental health practitioner must receive clinical supervision from a mental health professional. However, a mental health practitioner will be paid if the practitioner maintains a consulting relationship with a mental health professional who accepts full professional responsibility and is present on-site for at least one observation during the first 12 hours in which the mental health practitioner provides the individual, family, or group skills training. Thereafter, the mental health professional must be present on-site for observation as clinically appropriate when the mental health practitioner is providing individual family or group skills training; such observation must be a minimum of one clinical hour during the first 12 hours. The mental health professional must document his or her on-site presence in the child's record.

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4.b. Early and periodic screening, diagnosis, and treatment services: (continued)

The services specified in items A through J below are not eligible for medical assistance payment:

- A. therapeutic support of foster care provided to a foster family with a child who at the time of the service has not had a diagnostic assessment to determine if the child has a severe emotional disturbance (or, if between ages 18 and 21, has not had a diagnostic assessment to determine if the person has a serious and persistent mental illness), except that the first 30 hours of therapeutic support of foster care services provided to a foster family with a child who is later assessed and determined to have a severe emotional disturbance (or, if between ages 18 and 21, a serious and persistent mental illness) at the time services began is eligible for medical assistance payment;
- B. more than 192 hours of individual, family, or group skills training within any consecutive six-month period. The 192-hour limit may not be exceeded during any calendar year unless prior authorization is obtained;
- C. more than a combined total of 48 hours within any consecutive six-month period of individual, family, group, and multiple-family group psychotherapy. The 48-hour limit may not be exceeded during any calendar year, except in the case of an emergency if prior authorization or after-the-fact authorization of the psychotherapy is obtained;
- D. therapeutic support of foster care services that exceed 240 hours in any combination of the psychotherapies and individual, family, or group skills training within any consecutive six-month period. Additional therapeutic support of foster care beyond 240 hours are eligible for medical assistance payment with prior authorization;
- E. psychotherapy provided by a person who is not a mental health professional;
- F. individual, family, or group skills training provided by a person who is not at least qualified as a mental health practitioner and who does not maintain a consulting relationship with a mental health professional who accepts full professional responsibility;

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4.b. <u>Early and periodic screening, diagnosis, and treatment services:</u> (continued)

- G. therapeutic support of foster care provided by a county board, or provider under contract to a county board, or an IHS or 638 facility if the county board or provider is not capable of providing all the components noted on pages 16x-16y;
- H. therapeutic support of foster care provided at the same time by more than one mental health professional or mental health practitioner unless prior authorization is obtained;
- I. therapeutic support of foster care to a foster family that duplicate health services funded under medical assistance mental health services; grants authorized according to the Children's Community-Based Mental Health Fund; the Minnesota Family Preservation Act; or the Minnesota Indian Family Preservation Act, except:
 - up to 60 hours of day treatment services within a sixmonth period provided concurrently with therapeutic support of foster care to a child with severe emotional disturbance are eligible for medical assistance payment without prior authorization if the child is:
 - a) being phased out of day treatment services and phased into therapeutic support of foster care; or
 - b) being phased out of therapeutic support of foster care and day treatment services are identified within the goals of the child's individual treatment plan.

Prior authorization may be requested for additional hours of day treatment beyond the 60-hour limit;

if the mental health professional providing the child's therapeutic support of foster care anticipates the child or the child's family will need outpatient psychotherapy services upon completion of the therapeutic support of foster care, then one session of individual psychotherapy per month for the child or one session of family psychotherapy per month for the child's family is eligible for medical assistance payment during the period the child receives therapeutic support of foster care.

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4.b. <u>Early and periodic screening, diagnosis, and treatment services:</u> (continued)

For purposes of the child's transition to outpatient psychotherapy, the child may receive two additional psychotherapy visits per six-month episode of therapeutic support of foster care if the mental health professional providing the therapeutic support of foster care works with the provider of outpatient psychotherapy to facilitate the child's transition from therapeutic support of foster care to outpatient psychotherapy services and to coordinate the child's mental health services.

- J. Services provided to the foster family that are not directed exclusively to the treatment of the recipient.
- 6. Services provided to recipients with severe emotional disturbance residing in a children's residential treatment facility are limited to:
 - A. Intake, treatment planning and support. This includes developing, monitoring and revising the treatment plan, recording the recipient's medical history, providing a basic health screening and referring for health services if necessary, assisting in implementing health regimes, medication administration and monitoring, coordinating home visits when consistent with treatment plan goals, coordinating discharge and referral for aftercare services, and travel and paperwork related to intake, treatment planning and support.
 - B. Psychological examinations, case consultation, individual and group psychotherapy, and counseling. It includes testing necessary to make these assessments.
 - C. Skills development. This means therapeutic activities designed to restore developmentally appropriate functioning in social, recreational, and daily living skills. It includes structured individual and group skills building activities.

It also includes observing the recipient at play and in social situations, and performing daily living activities and engaging in on-the-spot intervention and redirection of the recipient's behavior consistent with treatment goals and age-appropriate functioning.

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4.b. Early and periodic screening, diagnosis, and treatment services: (continued)

Family psychotherapy and skills training designed to D. improve the basic functioning of the recipient and the recipient's family in the activities of daily and community living, and to improve the social functioning of the recipient and the recipient's family in areas important to the recipient's maintaining or re-establishing residency in the community. This includes assessing the recipient's behavior and the family's behavior to the recipient, activities to assist the family in improving its understanding of normal child development and use of parenting kills to help the recipient achieve the goals of the treatment plan, and promoting family preservation and unification, community integration, and reduced use of unnecessary out-of-home placement or institutionalization. Family psychotherapy and skills transing is directed exclusively to treatment of the recipient.

Covered services are

1. Provided pursuant to an individual treatment plan based on recipients' clinical needs;

2. Developed with assistance from recipients' families or legal representatives; and

3. Supervised by a mental health professional.

7. Personal care assistant services identified in an Individualized Family Service Plan (IFSP) or Individualized Education Plan (IEP) and provided by school districts to children during the school day.

• The services must meet all the requirements otherwise applicable under item 26 of this Attachment if the service had been provided by a qualified, enrolled provider other than a school district, with the following exceptions:

A. a personal care assistant does not have to meet the requirements of pages 78-78a and need not be an employee of a personal care provider organization;